

HIGHER STRUCTURES & GEOMETRY FOR GAUGE SYSTEMS

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WHY & WHAT FOR?

- ❖ Quantization of gauge systems \rightsquigarrow BV/BRST formalism
- ❖ Field theories with p -form fields \rightsquigarrow Higher Gauge Theories
- ❖ Generalizations of Global Symmetries - Topological Operators
- ❖ Generalized geometric models for Gravity & (Quantum?) Spacetime

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- ✿ Gauge algebra closes only on-shell
- ✿ Field-dependent structure functions
- ✿ Reducibility of gauge generators

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For Yang-Mills-like models of ordinary gauge fields (e.g. SM), none of these applies ...
needless to say, still important classically (conservation laws, interactions, gauge-fix) and quantumly (anomalies, renormalization)

Initially developed for rather complicated theories.

What is the simplest theory with all these features?

DILATON GRAVITY (2D)

- ✿ Models of 2D gravity (JT, R^2 , ...) elegantly unify into the **Poisson sigma model**.

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$$[\delta_1, \delta_2] A_\mu = \delta_{12} A_\mu + \partial_\mu \partial_\nu \Pi^{\rho\sigma}(X) \epsilon_{\rho} \epsilon_{\sigma} (dX^\nu + \Pi^{\nu\kappa} A_\kappa), \quad \epsilon_{12\mu} = \partial_\mu \Pi^{\nu\rho}(X) \epsilon_{1\nu} \epsilon_{2\rho} .$$

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- ❖ 1st-class constrained Hamiltonian system.

Cf. 4D GR: functions in constraint algebra Blohmann, Barbosa Fernandes, Weinstein '10 nicely explained in Bojowald's book

- ❖ Not to forget: Kontsevich \star -product is computed by a PSM ∂ correlator Cattaneo, Felder '99

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But we forgot the third feature: reducibility ...

CLIMB TO 3D

- ✿ 2 BF theories: scalar/2-form and 1-form/1-form (a.k.a. Chern-Simons): couple them.

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$$S_{\text{CSM}} = \int -B_{\mu} \wedge dX^{\mu} + \frac{1}{2} \eta_{ab} A^a \wedge dA^b + \rho_a^{\mu}(X) B_{\mu} \wedge A^a + \frac{1}{3!} C_{abc}(X) A^a \wedge A^b \wedge A^c.$$

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- ✦ Rich **shift / gauge** symmetries; generic gauge algebra with all 3 features.

- ✦ Not in the Lie algebra case, nor in the “standard Courant algebroid” ($\rho = 1$, $C = 0$).
- ✦ The simplest genuine example of all 3 features is the lifting of the PSM to a 3D one:

Such models, even deformed by “generalised R-flux”, exist in any dimension [Th Ch '21](#); [Ikeda '21](#)

$$S_{\text{PSM3}} = \int -B_{\mu} \wedge dX^{\mu} + A_{\mu} \wedge dA^{\mu} + \Pi^{\mu\nu} B_{\mu} \wedge A_{\nu} + \frac{1}{2} \partial_{\rho} \Pi^{\mu\nu} A^{\rho} \wedge A_{\mu} \wedge A_{\nu}.$$

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- ❖ Such models capture NC / NA structure of “nongeometric” string backgrounds.

[Mylonas, Schupp, Szabo '12](#); ...

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❖ 4-form twisted Courant sigma models in 3D [Hansen, Strobl '09](#)

$$S_{\text{HCSM}} = S_{\text{CSM}} + \int_B \frac{1}{4!} H_{\mu\nu\rho\sigma}(X) dX^\mu \wedge dX^\nu \wedge dX^\rho \wedge dX^\sigma.$$

$$[\delta_1, \delta_2]B_\mu = \delta_{12}B_\mu + (\dots)_{\mu\nu} \wedge F^\nu + (\dots \partial H)_{\mu\nu\rho} F^\nu \wedge F^\rho + (\dots)_{\mu a} G^a.$$

[Th Ch, Ikeda, Jonke '24](#)

❖ (any+1)-form twisted R-Poisson sigma models in anyD [Th Ch '21](#)

❖ earlier instances, 3-form twisted PSM in 2D / more generally: Dirac SM

without nonlinear openness [Klimcik, Strobl '01](#); [Schaller, Kotov, Strobl '04](#)

❖ Price to pay: geometric structures are “twisted” & BV/BRST is harder.

GRADED GEOMETRY & AKSZ/BV

❖ Main idea: **tensor fields = functions on graded manifolds**

e.g. shifted tangent bundle $T[1]\Sigma$: A “Q-manifold” (HVF: $|Q| = 1$ and $Q^2 = 0$)

Coordinates: σ^m and θ^m with $\theta^m\theta^n = -\theta^n\theta^m$. Functions $\sim p$ -forms / $Q \equiv d = \theta^m\partial_m$

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Coordinates: σ^m and θ^m with $\theta^m\theta^n = -\theta^n\theta^m$. Functions \sim p-forms / $Q \equiv d = \theta^m\partial_m$
- ❖ If also a compatible (graded) symplectic form s.t. $L_Q\Omega = 0 \rightsquigarrow$ **QP manifold**.
- ❖ Classical theory: degree-preserving maps $\Phi : T[1]\Sigma \rightarrow \mathcal{M}$ with \mathcal{M} a QP target.
- ❖ Hamiltonian $\Theta \in C^\infty(\mathcal{M})$ of degree $n + 1$ with $Q = \{\Theta, \cdot\}$. $Q^2 = 0 \Rightarrow \{\Theta, \Theta\} = 0$.
- ❖ Action functional of **supermaps** satisfying the **Classical Master Equation**

$$S_{BV}[\Phi] = \int_{\Sigma} \left(\frac{1}{2} \Omega_{ab} \Phi^a \wedge d\Phi^b + \Phi^*(\Theta) \right) \Rightarrow (S, S)_{BV} = 0.$$

EXAMPLES VS. NON EXAMPLES

- ✿ $n = 1$: $\mathcal{M} = T^*[1]M \rightsquigarrow$ **Poisson sigma model** (scalars / 1-forms)
- ✿ $n = 2$: $\mathcal{M} \subset T^*[2]E[1] \rightsquigarrow$ **Courant sigma model** (scalars / 1-forms / 2-forms)
- ✿ $n = 3$: **3-brane sigma models** (scalars / 1,2,3-forms)
cf. Plebanski formulation of GR (with constraint)
- ✿ $n = n$: Ševera's Σ_n -**manifolds** of which (untwisted) R-Poisson “brane mechanics” are a specific slice

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- ❖ Q manifolds are not always QP ... Vanilla AKSZ does not apply. E.g. WZW terms
also in a different direction, higher gauge theories as sigma models [Grützmann, Strobl '14](#)
- ❖ Non topological models
but AKSZ-like: “presymplectic AKSZ” of GR4 [Grigoriev, Kotov '20](#) “BFV/AKSZ” of EC4 [Canepa, Cattaneo, Schiavina '21](#)

NON EXAMPLES AS EXAMPLES / WZW CASE

When $H_{n+2} \neq 0$, geometry comes to the rescue. In physics terms: Th Ch, Ikeda, Jonke '24

- ❖ Expand in antifields, as in the traditional BV approach
- ❖ Determine the geometric meaning of the coefficients in the interactions
- ❖ “Twist” by H_{n+2} the respective geometric structures

This is facilitated by an auxiliary affine connection ∇ on a suitable algebroid.

cf. Baulieu, Losev, Nekrasov '01; Cattaneo, Felder, Tomassini '00; Ikeda, Strobl '19

Specific torsion and (“basic”) curvature tensors control all the interaction coefficients

higher tensors for higher brackets in more than 3D ... Th Ch, Kodžoman, Škoda '24

DETOUR: L_∞ ALGEBROIDS

The mathematical explanation of the Q vs. QP story and the WZW terms goes as:

- ❖ Recall the “derived” bracket of vector fields on the exterior algebra of forms: [Cartan](#)

$$\iota_{[X, Y]} = [L_X, \iota_Y] = [[d, \iota_X], \iota_Y].$$

- ❖ Think “ $d = Q$ ” and take any homological vector field. Two constructions possible: [Kosmann-Schwarzbach](#); [Voronov](#); [Roytenberg](#); [Sheng, Zhu](#); [Bonavolonta, Poncin](#); ...

- ❖ Construct an $L_\infty[1]$ Algebroid (for \mathcal{M} split) with graded symmetric brackets using the arity $k - 1$ component of the HVF and graded vector field X_j

$$\ell_k(X_1, \dots, X_k) = [\dots [[^{k-1}Q, X_1], X_2], \dots].$$

- ❖ Construct a dgLA (for \mathcal{M} symplectic) using $\{\Theta, -\}$ and a Leibniz bracket, e.g.

$$\{f, g\}_{\text{P.B.}} = \{\{\Theta, f\}, g\} \quad (\text{Poisson}) \quad e \circ e' = \{\{\Theta, e\}, e'\} \quad (\text{Dorfman}) \quad \&c.$$

- ❖ When WZW terms are there, the 1st construction works better (with connection).

DETOUR: L_∞ ALGEBROIDS

- ✿ This $L_\infty[1]$ algebroid construction gives natural geometrical tensors.
for QP2, cf. Gualtieri torsion, Riemann curvature, basic curvature Boffo, Schupp '19; Jurco, Vysoky '16, '23; Th Ch, Jonke '22
- ✿ Higher gauge theories as generalised σ -models based on Q (split) targets \mathcal{M} .
as in Grutzmann, Strobl '14 and non Abelian gerbe examples of Ho, Matsuo '12 (in different formulation) and Strobl '16
- ✿ Not the full story, possible to have non C^∞ -linear higher brackets & higher anchors
as in homotopy Poisson/ P_∞ of Voronov, see also Herbig, Herber, Seaton '21; examples in Th Ch, Kodžoman, Škoda '24

**BIDIFFERENTIAL
BIGRADED MANIFOLDS**

MIXED SYMMETRY TENSOR FIELDS AS FUNCTIONS

For bipartite tensors of degree $|\omega| = (p, q)$, consider functions on $T[1]\Sigma \oplus T[1]\Sigma$,

$$\omega_{p,q} = \frac{1}{p!q!} \omega_{\mu_1 \dots \mu_p \nu_1 \dots \nu_q}(\mathbf{x}) \theta^{\mu_1} \dots \theta^{\mu_p} \chi^{\nu_1} \dots \chi^{\nu_q} .$$

Two separate sets of odd coordinates θ^μ and χ^μ that mutually commute by convention,

$$\theta^\mu \theta^\nu = -\theta^\nu \theta^\mu, \quad \chi^\mu \chi^\nu = -\chi^\nu \chi^\mu, \quad \theta^\mu \chi^\nu = \chi^\nu \theta^\mu .$$

The components of the tensor field have manifest mixed index symmetry

$$\omega_{\mu_1 \dots \mu_p \nu_1 \dots \nu_q} = \omega_{[\mu_1 \dots \mu_p][\nu_1 \dots \nu_q]} .$$

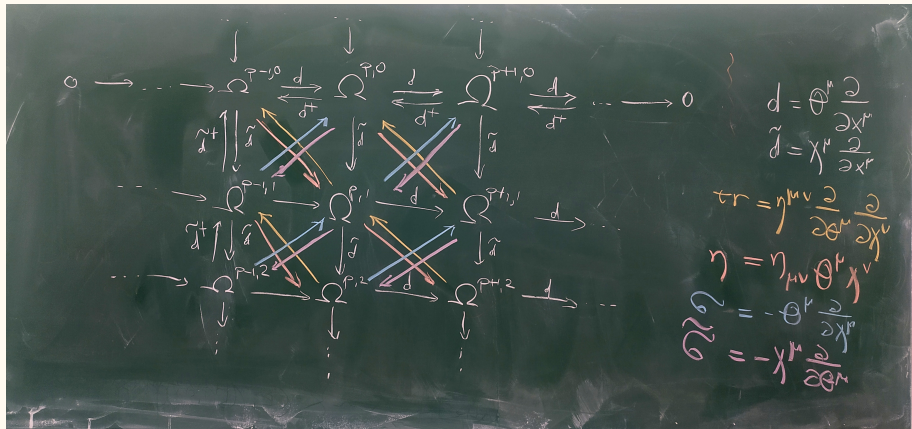
N.B. Useful to think of differential forms as bipartite tensors with 1 empty slot (p or q).

generalises to N -partite tensors; cf. the more general Ševera's differential forms and worms, also with degree $(1,1)$ coordinates

Two commuting homological vector fields of degree $(1,0)$ and $(0,1)$:

$$d = \theta^\mu \frac{\partial}{\partial X^\mu} \quad \text{and} \quad \tilde{d} = \chi^\mu \frac{\partial}{\partial X^\mu} \quad \text{with} \quad d^2 = 0 = \tilde{d}^2 \quad \text{and} \quad d\tilde{d} = \tilde{d}d .$$

A DOUBLE COMPLEX



graded analogon of closely related formalism by [Bekaert, Boulanger, de Medeiros, Hull](#) ... Identities as commutative diagrams

WHAT FOR

- ❖ A simple & universal graded formulation of mixed symmetry tensor field theories
 - ❖ Unified formalism for scalars, p -forms, gravitons, the Curtright field $(2,1)$, &c.
 - ❖ {kinetic, θ , mass} terms, healthy higher- ∂ interactions, nonlinear p -form ED
- ❖ A systematic treatment of various dualities, off-shell, single and multi field
 - ❖ Universal parent action and higher duality (“Buscher”) rules
 - ❖ Generalised global symmetries as (jet) isometries & tracking of ’t Hooft anomalies
 - ❖ Off-shell duality for the graviton with θ term
 - ❖ “Axion gravitodynamics”

work with Giorgos Karagiannis, Fei Chen Khoo, Diederik Roest, Peter Schupp '16-'20

work with Giorgos Karagiannis, George Manolakos, Arash Ranjbar, Peter Schupp '19-'22

LAGRANGIANS

GENERALIZED HODGE DUALITY

To construct Lagrangians, we need a suitable inner product. Generalized Hodge star:

$$(\star \omega)_{D-p, D-q} = \frac{1}{(D-p-q)!} \eta^{D-p-q} \omega_{q,p}^T.$$

The combination $\star \tilde{\star}$ (of standard Hodge) is different than \star (it also encodes traces) :

$$\star \omega = \star \tilde{\star} (-1)^\epsilon \sum_{n=0}^{\min(p,q)} \frac{(-1)^n}{(n!)^2} \eta^n \text{tr}^n \omega, \quad (\epsilon = (D-1)(p+q) + pq + 1).$$

A symmetric inner product of some ω and ω' is then simply defined by $\int_{\theta, \mathcal{X}} \omega \star \omega'$.

KINETIC AND MASS TERMS

$$\mathcal{L}_{\text{kin}}(\omega_{p,q}) = \int_{\theta, \chi} d\omega \star d\omega. \quad \mathcal{L}_{\text{mass}}(\omega_{p,q}) = m^2 \int_{\theta, \chi} \omega \star \omega.$$

- For differential forms ($q = 0$) \rightsquigarrow p -form electrodynamics.
- For $p = q = 1$ \rightsquigarrow linearized Einstein-Hilbert / Fierz-Pauli:

$$\mathcal{L}_{\text{kin}} = -\frac{1}{4} h^\mu{}_\mu \square h^\nu{}_\nu + \frac{1}{2} h^\lambda{}_\lambda \partial_\mu \partial_\nu h^{\mu\nu} - \frac{1}{2} h_{\mu\nu} \partial^\nu \partial_\lambda h^{\mu\lambda} + \frac{1}{4} h_{\mu\nu} \square h^{\mu\nu},$$

$$\mathcal{L}_{\text{mass}} = m^2 \left(h^{\mu\nu} h_{\mu\nu} - (h^\mu{}_\mu)^2 \right).$$

- For $p = 2, q = 1$, the gauge theory for the hook Young tableaux [Curtright '80](#)

$$\begin{aligned} \mathcal{L}_{\text{kin}} = & \frac{1}{2} \left(\partial_\mu \omega_{\nu\kappa|\lambda} \partial^\mu \omega^{\nu\kappa|\lambda} - 2 \partial_\mu \omega^{\mu\nu|\kappa} \partial^\lambda \omega_{\lambda\nu|\kappa} - \partial_\mu \omega^{\nu\kappa|\mu} \partial^\lambda \omega_{\nu\kappa|\lambda} - \right. \\ & \left. - 4 \omega_\mu{}^{\nu|\mu} \partial^\kappa \partial^\lambda \omega_{\kappa\nu|\lambda} - 2 \partial_\mu \omega_\nu{}^{\kappa|\nu} \partial^\mu \omega^\lambda{}_{\kappa|\lambda} + 2 \partial_\mu \omega_\nu{}^{\mu|\nu} \partial^\kappa \omega^\lambda{}_{\kappa|\lambda} \right), \end{aligned}$$

$$\mathcal{L}_{\text{mass}} = m^2 \left(\omega^{\mu\nu|\rho} \omega_{\mu\nu|\rho} - 2 \omega^\mu{}_\mu \omega_\mu \right). \quad \text{cf. Bergshoeff, Fernandez-Melgarejo, Rosseel, Townsend '12}$$

TENSOR GALILEONS AS 'GENERALISED KINETIC TERMS'

Define $\omega_{(n+1)} \equiv \omega \left(d\tilde{d}\omega \right)^n$. For any bipartite tensor in any D , universal interactions:

$$\mathcal{L}_{\text{Gal}}(\omega_{p,q}) = \sum_{n=0}^{n_{\text{max}}} \int_{\theta,\chi} d\omega_{(n+1)} \star d\omega_{(n+1)},$$

Single-field, 2nd-order (polynomial) EOMs. Note: only even field appearances here.

When $p = q$ (scalars, gravitons, (2,2)s &c.), an enhancement to odd fields

$$\tilde{\mathcal{L}}_{\text{Gal}}(\omega_{p,p}) = \mathcal{L}_{\text{Gal}}(\omega) + \sum_n \int_{\theta,\chi} \eta^{p+1} d\omega_{(n)} \star d\omega_{(n+1)} = \sum_{n=1}^{n_{\text{max}}} \int_{\theta,\chi} \eta^{D-(p+1)n-p} \omega_{(n+1)}.$$

straightforward to do multi-field, up-to-2nd-order EOM / also to find expanded versions (but they will be very complicated)

cf. Nicolis, Ratazzi, Trincherini '08; Deffayet, Deser, Esposito-Farese '09-'10; ...

also for higher spins, with suitable generalised Hodge; yields the formulation of Francia, Sagnotti '02 and nonlocal Galileons

GENERALISED GLOBAL SYMMETRIES

GLOBAL SYMMETRIES & 'T HOOFT ANOMALY

Gaiotto, Kapustin, Seiberg, Willet '14; Cordova, Dumitrescu, Intriligator '18

- ❖ Free Maxwell theory has two 1-form $U(1)$ global symmetries (electric/magnetic)
 - ❖ The conserved currents are the 2-forms: F and $*F$ give topological operators, act on Wilson/'t Hooft
 - ❖ Background fields that can couple to the currents are two 2-forms B_e and B_m
 - ❖ They have background gauge transformation: $B_{e/m} \rightarrow B_{e/m} + d\Lambda_{e/m}$
 - ❖ Electric description: $U(1)$ gauge field A , which shifts by Λ_e but is inert under magnetic
- ❖ Action coupled to background fields we can add the ϑ term too

$$S = \frac{1}{2e^2} \int (F - B_e) \wedge *(F - B_e) + \frac{i}{2\pi} \int B_m \wedge F.$$

- ❖ Under background gauge transformations: $S \rightarrow S + \frac{i}{2\pi} \int \Lambda_e \wedge dB_m$.
 - ❖ Mixed $U(1)_e$ - $U(1)_m$ 't Hooft anomaly via inflow from 6D polynomial $dB_m \wedge dB_e$
 - ❖ In the dual theory the currents are exchanged, the anomaly is reproduced
- ❖ Anomaly matching also for scalars, multiple fields, nonlinear theories ...

Th Ch, Karagiannis, Ranjbar '22

GRADED ISOMETRIES

- ❖ Philosophy of Generalised Global Symmetries: **the photon is a NG boson**.
- ❖ Nonlinear sigma models were introduced for (scalar) NG bosons. Gell-Mann, Levy '60
- ❖ **Q-manifold Philosophy**: Generalised sigma models with graded coordinates.
- ❖ In 2D NLSMs global symmetries are identified by background isometries:

$$\delta X = \rho(\epsilon) \quad \text{iff} \quad \mathcal{L}_\rho G = 0 \quad \text{and} \quad \mathcal{L}_\rho B = d\alpha.$$

- ❖ Similarly when the couplings depend on derivatives too (see Heisenberg pion fireball model):

$$\delta X = \rho(\epsilon) \quad \text{and} \quad \delta dX = \xi(\epsilon) \quad \text{iff} \quad \widehat{\mathcal{L}}_V G = 0 \quad \text{and} \quad \widehat{\mathcal{L}}_V B = d\beta,$$

with V in the 1-jet and $\widehat{\mathcal{L}}$ the 1-jet Lie derivative.

- ❖ For Abelian 1-forms in 4D, same-yet-graded result! a graded Lie derivative along a graded VF

OPEN QUESTIONS

- ✿ Is the graviton a Nambu-Goldstone boson for some global symmetry?

for a proposal on this see [Hinterbichler, Hofman, Joyce, Mathys '23](#)

- ✿ Does linGR have a 't Hooft anomaly? How does it inflow?

- ✿ What are the topological operators and the extended observables then?

tensor gauge theories relevant elsewhere too, e.g. physics of reduced mobility quasiparticles (fractons, lineons, planons)

- ✿ Is there a Coleman-Mermin-Wagner theorem for gravitons?

- ✿ Do mixed symmetry tensors gauge theories arise as bg fields for such GGSs?

- ✿ ...

THANK YOU
&
HAPPY BIRTHDAY MAJA!!!